

Bond Performance Audit Tracker
 Status of Performance Audit Recommendations
 August 2023 - BAC Report

2021 Bond Performance Audit Recommendations				
Program	#	Abbreviated Recommendation	Response	Status
2017	1	The PPS Board should revisit its Equity in Public Purchasing and Contracting ("EPPC") Policy to clarify and define the District's vision, goals, and commitment to business equity. This should include reassessing the overall intent, determining how equity is characterized, and expanding on what attainment of business equity goals for the District should entail.	Concur with Comment	Working
2017	2	Further develop the Board's overarching vision into more succinct measurable subgoals or objectives, support new goal setting defensible data, and define qualitative and quantitative metrics to measure against new goals.	Concur	Working
2017	3	Conduct a cost-benefit analysis of available business equity strategies, such as those outlined in this report, and include considerations such as long-term versus short-term strategies, direct versus intangible "investment" type strategies, and unintended consequences.	Concur	Working
2017	4	Develop tools and protocols to capture outcomes of chosen equity strategies, and validate outcome data accuracy.	Concur with Comment	Working
2017	5	Create protocols to expand on existing business equity annual reporting to include an assessment of whether annual equity outcomes realized meet the intent of the EPPC Policy and goals of the Administrative Directive, or if modification to the portfolio of equity strategies is warranted, and based on results, adjust strategies as necessary.	Concur	Working
2017	6	Develop and formalize operating procedures to define the roles, responsibilities and expectations of PPS staff specific to managing contractor and consultant business equity compliance, reporting on outcomes, and coordinating efforts to bolster PPS' presence and visibility in the certified business community.	Concur	Complete
2017	7	Provide context and explanation of nuances and limitations of B2GNow data in presentations and reports to the Board and the Bond Accountability Committee.	Concur	Complete
2017	8	Develop a PPS-led training to provide new and existing contractors and consultants guidance on equity reporting requirements and expectations; and provide refreshers on training materials on an established schedule over the course of the contract duration.	Concur with Comment	Complete
2017	9	Conduct a review to explore system capabilities, functions, and reports with the B2GNow vendor that could enhance PPS' management of business equity efforts.	Concur	Complete
2017	10	Develop new and strengthen existing protocols for capturing institutional knowledge currently held by one OSM staff member to ensure information and processes about Bond Communication efforts is retained with PPS. Protocols could include establishing key policies and procedures, cross-training staff on communication activities and knowledge, creating a resource library, and providing data sources, timestamps, "as of" dates, and follow-up contact information, as appropriate.	Concur	Complete

<u>Audit Year</u>	<u>Summary of Recommendations</u>	<u>Percent Completed</u>
2021	10 Recommendations, 5 completed	50% Completed

Recommendations Marked as Complete Since Last Reporting

2021	8	Existing training materials available online have been reviewed and updated. Targeted trainings have been completed for local contractor/consultant professional organizations and more are scheduled. OSM's Certified Business Program Manager is now attending all pre-construction meetings to coordinate any needed trainings with individual contractors. A contact/info sheet offering training and support on B2G reporting has been developed, is sent out at the start of the contract, and will be re-sent quarterly to vendors.
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Status Notes on Recommendations Not Completed

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| 2021 | 1 | <p>Policy updates are scheduled by the Board and are therefore subject to the Board’s timeline. The Board has recently expressed interest in reviewing policy in this area and staff awaits further direction.</p> |
| 2021 | 2 | <p>As an initial step, staff have begun working on new focus areas in reporting, in addition to total amount paid to Certified Businesses. These new focus areas include: number of firms and number of unique Certified Businesses utilized at prime and sub level; number of Certified Businesses that access bid documents, number that bid, and percent awarded; and percentage of total prime contract awards going to Certified Businesses. Further implementation of this recommendation will depend on Board decision-making regarding policy updates and/or development of new reporting areas as determined through the annual business equity review and reporting cycle discussed below.</p> |
| 2021 | 3 | <p>This is being developed as part of an annual business equity review and reporting cycle. Staff are working on developing the components, scope and schedule of this new annual review.</p> |
| 2021 | 4 | <p>This recommendation is under review by the AIT. As noted in the Draft Report, it can be difficult to find a direct correlation between “how a particular strategy employed results in increased or decreased utilization rates.” Staff are considering tools to capture outcomes, with the understanding that not all outcomes may be able to be directly attributed to chosen equity strategies.</p> |
| 2021 | 5 | <p>Staff are working on an SOP for an annual review and reporting process of of business equity strategies that will assess strategies in place during the previous year, identify potential changes or new strategies, develop cost-benefit analyses for use in selection of the strategies in the upcoming year, and collect and analyze outcome data over the course of the year. An SOP of the proposed annual review and reporting process is in development. Once the SOP is complete and adopted, this recommendation will be closed.</p> |